

<b>Business Entity ID Number</b>	<b>For PSD Stamp Only</b>	<b>PSD Bar Code Only</b>
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U.S. Department of Agriculture  
 Agricultural Marketing Service  
 Fair Trade Practices Program  
 Packers and Stockyards Division

**ANNUAL REPORT OF  
 MARKET AGENCY SELLING  
 ON COMMISSION**

Select this box if you want to receive electronic notification to file your annual report. This will begin in the next reporting cycle.

Electronic Filing

**Instructions: If a line's value is zero, enter "0". If a line does not apply, enter "N/A". Return completed form to the PSD Central Reporting Unit. If all lines are not completed when the form is received, the form will be returned. See separate instructions for complete information about this report.**

**GENERAL INFORMATION - SECTION 1**

101	Reporting Period (mm/dd/yyyy)	a. FROM:	b. TO:
102	Entity Name		
103	Trade Name/d.b.a.		
104	Mailing Address		
105	City, State, Zip+4		
106	Operating Address		
107	City, State, Zip+4		
108	Contact Name		
109	Contact Telephone	111	E-Mail Address
110	Fax	112	Web Address

**ORGANIZATIONAL STRUCTURE - SECTION 2**

*List owners, officers, partners, and members in control of this business with their ownership percentage.*

	a. Name	b. Title	c. %
201			
202			
203			
204			
205			

206	Type organization: <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> L.L.C. <input type="checkbox"/> L.L.P. <input type="checkbox"/> Co-op <input type="checkbox"/> Association						
207	Is this the same organization type reported last year? <input type="checkbox"/> Yes <input type="checkbox"/> No						
208	Does the entity own or operate a scale on which purchased livestock is weighed? <input type="checkbox"/> Yes <input type="checkbox"/> No						
209	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:25%;">a. Manufacturer</td> <td style="width:25%;">b. Model</td> <td style="width:50%;">c. Serial Number</td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </table>	a. Manufacturer	b. Model	c. Serial Number			
a. Manufacturer	b. Model	c. Serial Number					
210	What is the basis of payment made to the entity for most livestock you sell? <input type="checkbox"/> Per Head <input type="checkbox"/> Live Wt. <input type="checkbox"/> Dressed Wt. or Carcass Basis						
211	Is this business owned or controlled by another business entity? If Yes, list information on line 212 <input type="checkbox"/> Yes <input type="checkbox"/> No						
212	Entity Name: _____ Entity Address: _____						
213	Does this Business, including any Owners, Officers, Directors, Members or Employees, own an interest in any other Market Agency, Dealer Organization, or Packing Company? <input type="checkbox"/> Yes <input type="checkbox"/> No						

*If line 213 is yes, give the name of related firms, name of person or firms with relationship, and percentage of ownership under lines 214-217*

	a. Name of Related Firm	b. Name of Person/Firm with Relationship	c. %
214			
215			
216			
217			

**SELLING ON COMMISSION OPERATION (CLAUSE 1 BOND) - SECTION 3**

Livestock Sold at Market		a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
301	Livestock consigned by others to your market (number of head)					
302	Livestock consigned by you to your market (number of head)					
303	Total livestock consigned to your market (number of head)					
304	Gross value of livestock sold on commission	\$	\$	\$	\$	\$
305	Number of Public Sales days covered by this report _____					

**DEALER AND BUYING ON COMMISSION OPERATION (CLAUSE 2 BOND) - SECTION 4**

Livestock Dealer Purchases		a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
401	Cost of livestock purchased on a dealer basis for registrant's account	\$	\$	\$	\$	\$
402	Number of head of livestock purchased on a dealer basis for registrant's account					
Dealer Volume by quarter		a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
403	Cost of livestock purchased as a dealer, per quarter (403e is equal to 401e)	\$	\$	\$	\$	\$
Livestock Bought on Commission		a. Cattle	b. Hogs	c. Sheep & Goats	d. Horses & Mules	e. Total (a+b+c+d)
404	Cost of livestock bought on commission (BOC) for the account of others regardless of who paid for the livestock	\$	\$	\$	\$	\$
405	Number of head of livestock bought on commission for the account of others regardless of who paid for the livestock					
BOC Volume by quarter		a. First Quarter	b. Second Quarter	c. Third Quarter	d. Fourth Quarter	e. Total (a+b+c+d)
406	Cost of livestock bought on commission, per quarter (406e is equal to 404e)	\$	\$	\$	\$	\$
407	Total Cost of Livestock Purchased - line 401e plus line 404e	\$ _____				
408	Number of days livestock was purchased during the reporting year	_____				

**CLEAREE AND CLEAROR DESIGNATIONS - SECTION 5**

- 501 Select this box if you are a cleeree (Cleared and under the bond coverage of another entity for your livestock purchases)
- 502 Provide the name of the clearor if line 501 is checked: \_\_\_\_\_
- 503 Select this box if you are a clearor providing clearing services for cleerees.

**If the checkbox is selected on line 503, you must enter the required information for lines 504-514**

	Cleeree's Name as Registered	Dollar Value of Livestock Purchased	
504		505	\$
506		507	\$
508		509	\$
510		511	\$
512		513	\$
	Total Livestock Purchases of all Cleerees	514	\$

**ANALYSIS OF CUSTODIAL BANK ACCOUNT FOR SHIPPERS' PROCEEDS - SECTION 6**

Date of Custodial Analysis ( as of mm/dd/yyyy - should be same as reporting year end)	601	_____
Balance as per Bank Statement	602	\$ _____
Deposits in transit in mail or bank, but not on statement	603	\$ _____
Certificates of Deposit/Savings designated as custodial funds	604	\$ _____
Proceeds on hand	605	\$ _____
Proceeds receivable	606	\$ _____
<b>Total of Lines 602 through 606</b>		607 \$ _____
Outstanding checks and drafts that have not cleared bank	608	\$ _____
Proceeds due consignors of livestock for which checks have not yet been issued	609	\$ _____
Unpaid expense items deducted from consignors' proceeds remaining in account	610	\$ _____
<b>Total of Lines 608 through 610</b>		611 \$ _____
Overage or Shortage in Account (Line 607 minus 611)	612	\$ _____
Accounts Receivable - (from sales of livestock not classified as Proceeds Receivable)	613	\$ _____

## STATEMENT OF ASSETS, LIABILITIES, AND EQUITY - SECTION 7

Include values only for the reporting entity.

## ASSETS

Current Assets:			
Cash in Non-Custodial Accounts (Enter overdrafts on Line 723)	701	\$	<u>                    </u>
Overage in Custodial Bank Account (From Line 612, if a positive amount)	702	\$	<u>                    </u>
Current Cash Equivalents (CD's, Savings, etc.)	703	\$	<u>                    </u>
Trade Accounts Receivable-Net (Due in 1 year or less):			
Owners, Officers, and Affiliated Companies	704	\$	<u>                    </u>
All Others (Sources Not Related to Company)	705	\$	<u>                    </u>
Total Trade Accounts Receivables-Net (Due in 1 year or less) (Total of lines 704 and 705)	706	\$	<u>                    </u>
Inventory:			
Livestock Inventory	707	\$	<u>                    </u>
Other Inventory (Inventory to be consumed in the business during the year)	708	\$	<u>                    </u>
Total Inventories (Total lines 707 and 708)	709	\$	<u>                    </u>
Notes and Loans Receivable (Due in 1 Year or Less):			
Owners, Officers, and Related Entities	710	\$	<u>                    </u>
Others (Sources Not Related to Company)	711	\$	<u>                    </u>
Total Notes and Loans Receivable (Due in 1 Year or Less) (Total lines 710 and 711)	712	\$	<u>                    </u>
Advances Made on Livestock	713	\$	<u>                    </u>
Other Current Assets	714	\$	<u>                    </u>
Total Current Assets (Total lines 701, 702, 703, 706, 709, 712, 713, and 714)			715 \$ <u>                    </u>
Property, Plant, & Equipment Net of Depreciation and Amortization			716 \$ <u>                    </u>
Other Assets:			
Investments in Subsidiaries and Related Companies	717	\$	<u>                    </u>
Due from Owners, Officers, and Related Entities (Due in 1 year or more)	718	\$	<u>                    </u>
Livestock Inventory not related to sales to customers (feeding, backgrounding, depreciable assets)	719	\$	<u>                    </u>
Other Long-Term Assets	720	\$	<u>                    </u>
Total Other Assets (Total lines 717, 718, 719, and 720)			721 \$ <u>                    </u>
TOTAL ASSETS (Total of lines 715, 716, and 721)			722 \$ <u>                    </u>

## LIABILITIES

Current Liabilities:			
Overdraft	723	\$	<u>                    </u>
Shortage in Custodial Account (from line 612, if a negative amount)	724	\$	<u>                    </u>
Trade Account Payables:			
Livestock Payables	725	\$	<u>                    </u>
Other Payables to Owners, Officers, and Related Entities	726	\$	<u>                    </u>
Other Payables (Sources Not Related to Company)	727	\$	<u>                    </u>
Total Trade Account Payables (Total Lines 725, 726, and 727)	728	\$	<u>                    </u>
Current Maturities of Long-Term Debt: (Demand notes and portion of long-term notes due in 1 year or less)			
Owners, Officers, and Related Entities	729	\$	<u>                    </u>
Others (Sources Not Related to Company)	730	\$	<u>                    </u>
Total Current Maturities of Long-Term Debt (Total lines 729 and 730)	731	\$	<u>                    </u>
Other Current Liabilities	732	\$	<u>                    </u>
Total Current Liabilities (Total lines 723, 724, 728, 731, and 732)			733 \$ <u>                    </u>
Other Liabilities:			
Long -Term Debt, Less Current Maturities: (Due in 1 year or more)			
Owners, Officers, and Related Entities	734	\$	<u>                    </u>
Others (Sources Not Related to Company)	735	\$	<u>                    </u>
Total Long-Term Debt, Less Current Maturities (Total lines 734 and 735)			736 \$ <u>                    </u>
All Other Liabilities			737 \$ <u>                    </u>
TOTAL LIABILITIES (Total of lines 733, 736, and 737)			738 \$ <u>                    </u>
EQUITY			
Owner's Equity (Unincorporated)	739	\$	<u>                    </u>
OR			
Stock Issued (Incorporated)	740	\$	<u>                    </u>
Additional Paid-in Capital	741	\$	<u>                    </u>
Retained Earnings	742	\$	<u>                    </u>
TOTAL EQUITY (Total of lines 739 through 742), (should equal line 722 minus 738)			743 \$ <u>                    </u>
TOTAL LIABILITIES AND EQUITY (should equal line 722)			744 \$ <u>                    </u>

**STATEMENT OF REVENUES AND EXPENSES - SECTION 8**

Selling Commissions of Market	801	\$	
Gross Dealer Profit (+) or Loss (-)	802	\$	
Buying Commission	803	\$	
Other Income	804	\$	
Total Income (Total of lines 801 through 804)			805 \$
Total Expenses			806 \$
Net Profit (+) or Loss (-) (Line 805 less 806)			807 \$

**CERTIFICATION - SECTION 9**

*Under the Packers and Stockyards Act any person who willfully makes, or causes any false entry or statement of fact in this report shall be deemed guilty of offense against the United States, and be subject to a fine of \$1,000 to \$5,000, or to imprisonment for a term of not more than 3 years, or to both fine and imprisonment. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).*

**With my signature, I certify the information provided on this form is true and correct to the best of my knowledge and belief, I am an owner, officer, or have been authorized by responsible management to certify this report.**

901 Print Name:		902 Signature:
903 Phone Number:	904 Date:	905 Title:

*Submitted information is confidential (9 CFR 201.96). Failure to report will result in forfeiture to the United States \$559 per day until report receipt. Section 10 of the FTC Act, made applicable by Section 402 of the Act (7 U.S.C. 222).*

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